



Machine Translation Applications to Historical Documents

Miguel Domingo, Francisco Casacuberta

midobal@prhlt.upv.es, fcn@prhlt.upv.es

Pattern Recognition and Human Language Technology Research Center
Universitat Politècnica de València

PRHLT Seminar

CPI, May 3, 2022





Outline

- 1. Language Modernization
- 2. Spelling Normalization
- 3. Online Demonstrator





Outline

- 1. Language Modernization
- 2. Spelling Normalization
- 3. Online Demonstrator





Motivation

- Historical documents are an important part of our cultural heritage.
- However, due to their linguistic characteristics they are mostly limited to scholars.





Introduction

Goal: make historical documents more accessible to a general audience.





Introduction

Goal: make historical documents more accessible to a general audience.

Original

To be, or not to be? That is the question Whether tis nobler in the mind to suffer The slings and arrows of outrageous fortune, Or to take arms against a sea of troubles, And, by opposing, end them?

Modernized

The question is: is it better to be alive or dead? Is it nobler to put up with all the nasty things that luck throws your way, or to fight against all those troubles by simply putting an end to them once and for all?





Approaches

- Statistical machine translation (SMT).
- Neural machine translation (NMT).
 - Recurrent neural networks with long short-term memory units (LSTM).
 - Transformer.
- NMT enriched with modern documents.
 - Synthetic data generated through backtranslation.





Experimental framework

Corpora:

- Dutch Bible (17th century Dutch; 30K segments).
- El Quijote (17th century Spanish; 10K segments).
- OE-ME (11th century English; 3K segments).

Metrics:

- TER.
- BLEU.





Experimental framework

Evaluation:

- Automatic metrics.
- Human evaluation.
 - Scholars (4 Scholars specialized in classic Spanish literature).
 - Non-experts (42 participants).





Automatic metrics

| Approach | Dutch Bible | | El Quijote | | OE-ME | |
|--|---------------------------|--|-------------------------------|-------------------------------|--|-------------------------------|
| | TER [↓] | BLEU [↑] | TER [↓] | BLEU [↑] | TER [↓] | BLEU [↑] |
| Baseline | 57.9 | 12.9 | 44.2 | 36.3 | 91.0 | 2.8 |
| SMT | 11.5 | 77.5 | 30.7^{\dagger} | 58.3 [†] | 39.6^{\dagger} | 39.6^{\dagger} |
| NMT _{LSTM} NMT _{Transformer} | 13.8 11.1 [†] | 79.6 81.7 [†] | 55.1 38.4 | 39.8 49.3 | 82.7 54.7 | 12.8 27.3 |
| Enriched NMT $_{\rm LSTM}$ Enriched NMT $_{\rm Transformer}$ | 11.1 [†] 18.2 | 80 . 6 [†] 70.6 | 31.9 [†] 36.7 | 57.3 [†] 51.0 | 44 . 3 [†] 47.2 | 35.9 [†] 31.0 |

All results are significantly different between all approaches except those denoted with[†].





Scholars

- Fluency: how fluid does the modernized sentence sound?
- Lexical meaning: how correct is the lexicon of the modernized sentence?
- Syntax: how correct is the syntactic construction of the modernized sentence?
- Semantic: is the meaning of the original sentence preserved in the modernized sentence?
 - 1: the meaning is lost.
 - 2: a great part of the meaning is lost.
 - **3**: half the meaning is lost.
 - 4: part of the meaning is lost.
 - 5: the meaning remains.
- Modernization: how appropriate is the modernization?





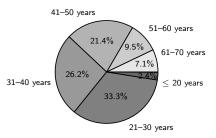
Scholars

| | Fluency | Lexical meaning | Syntax | Semantic | Modernization |
|------------------|---------|-----------------|--------|----------|---------------|
| SMT | 3.7 | 3.3 | 3.4 | 3.5 | 3.2 |
| En. NMT_{LSTM} | 3.7 | 3.3 | 3.4 | 3.5 | 3.2 |





Non-experts

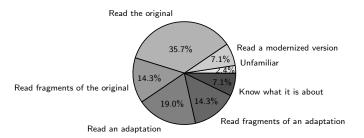


Age distribution.





Non-experts



Familiarity with El Quijote.





Non-experts

| | Original | Modernized | Indifferent | Not equal | |
|-----|----------|------------|-------------|-----------|--|
| SMT | 3.2 | 61.4 | 27.6 | 7.8 | |
| NMT | 6.4 | 50.9 | 22.3 | 20.3 | |

Percentage of cases in which the users selected that option.





Work in Progress

- Adapting pre-trained models for this task.
- We are working with mT5¹ since it covers 100 languages.

 $^{^1\}text{Xue}$, L., Constant, N., Roberts, A., Kale, M., Al-Rfou, R., Siddhant, A., ... & Raffel, C. (2020). mT5: A massively multilingual pre-trained text-to-text transformer. arXiv preprint arXiv:2010.11934.





Outline

- Language Modernization
- 2. Spelling Normalization
- 3. Online Demonstrator





Motivation

- The linguistic variation in historical documents has always been a concern for scholars in humanities.
- Human language evolves with the passage of time.
- Orthography changes depending on the author and time period.
- e.g., the data in LALME² indicate 45 different forms recorded for the pronoun it, 64 for the pronoun she and more than 500 for the preposition through.

²Linguistic Atlas of Late Medieval English.





Introduction

Goal: achieve an orthography consistency by adapting a document's spelling to modern standards.





Introduction

Goal: achieve an orthography consistency by adapting a document's spelling to modern standards.

Original

"Nunca fuera cauallero de damas tambien seruido, como fuera don Quixote quando de su aldea vino: donzellas curauan del, princesas del su rozino."

Normalized

"Nunca fuera caballero de damas tan bien servido, como fuera don Quijote cuando de su aldea vino: doncellas curaban de él, princesas del su rocino."





Approaches

- Statistical dictionary (SD).
- SMT.
- NMT.
 - LSTM.
 - Transformer.

- Character-based (CB) SMT.
- CBNMT.
 - CBNMT.
 - SubChar (Subwords–Characters).
 - CharSub (Characters–Subwords).
- CBNMT enriched with modern documents.
 - Synthetic data generated through backtranslation.





Experimental framework

Corpora:

- Entremeses y Comedias (17th century Spanish; 35K segments).
- Quijote (17th century Spanish; 48K segments).
- Bohorič (18th century Slovene; 4K segments).
- Gaj (19th century Slovene; 13K segments).

Metrics:

- Character Error Rate (CER).
- TER.
- BLEU.





Main approaches

| System | Quijote | | | Bohorič | | |
|--|---|--|--|--|--|--|
| | CER [↓] | TER [↓] | BLEU [↑] | CER [↓] | TER [↓] | BLEU [†] |
| Baseline SD | 7.9 3.9 | 19.5 5.5 | 59.4 89.3 | 21.7 16.2 | 49.0 20.7 | 18.0 56.1 |
| $\begin{array}{c} CBSMT \\ CBNMT_{\mathrm{LSTM}} \\ En. \ CBNMT_{\mathrm{LSTM}} \end{array}$ | 2.5 [†] 2.7 2.2 [†] | 3.0 [†] 4.3 [‡] 4.0 [‡] | 94.4 [†] 93.3 [‡] 93.2 [‡] | 2.4 29.4 28.6 | 8.7 39.5 38.3 | 80.4 48.7 49.5 |
| $\begin{array}{c} CBNMT_{\mathrm{Trans.}} \\ En. \ CBNMT_{\mathrm{Trans.}} \end{array}$ | $\begin{array}{c} \textbf{1.9}^{\dagger} \\ \textbf{2.4}^{\dagger} \end{array}$ | 3.3 [†] 5.1 | 93.9 [†] 89.7 | 26.2 [†] 25.7 [†] | 30.6 [†] 29.8 [†] | 60.0 [†] 60.8 [†] |

All results are significantly different between all approaches except those denoted with † and ‡ (respectively).





Additional CBNMT approaches

| | Quijote | | | Bohorič | | |
|----------------------------------|------------------|------------------|-------------------|-------------------|-------------------|------------------|
| System | CER | TER | BLEU | CER | TER | BLEU |
| | [↓] | [↓] | [↑] | [↓] | [↓] | [↑] |
| En. $CBNMT_{LSTM}$ | 2.2^{\dagger} | 4.0† | 93.2 [‡] | 28.6^{\ddagger} | 38.3 | 49.5 |
| $En.\ SubChar_{\mathrm{LSTM}}$ | 2.3^{\dagger} | 3.3^{\ddagger} | 94.9^{\dagger} | 29.5^{\dagger} | 36.9 | 51.5 |
| En. CharSub $_{ m LSTM}$ | 2.3^{\dagger} | 4.1^{\dagger} | 93.0 [‡] | 27.5* | 39.6 [†] | 47.2 |
| En. $CBNMT_{Trans}$. | 2.4^{\dagger} | 5.1 | 89.7 | 25.7 | 29.8 [‡] | 60.8^{\dagger} |
| $En.\ SubChar_{\mathrm{Trans.}}$ | 2.4^{\dagger} | 3.2 [‡] | 94.4^{\dagger} | 27.3* | 31.8 | 57.8 |
| En. CharSub $_{\mathrm{Trans.}}$ | 2.4 [†] | 3.5 [‡] | 93.9 [‡] | 8.8 | 11.5 | 79.3 |

All results are significantly different between all approaches except those denoted with † , ‡ and * (respectively).





Work in Progress

- So far, we have work using only error-free transcripts.
- Our colleagues working on handwriting text recognition (HTR) are also facing with this problem.
- We are working on combining the HTR and MT models to improve the modern transcripts.





Outline

- Language Modernization
- 2. Spelling Normalization
- 3. Online Demonstrator





Online Demonstrator

https://demosmt.prhlt.upv.es/mthd/